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Attorney's Docket:

920431.0916

PATENT

09/970,377

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03/08/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Re Application of: Manoel (nmi) Tenorio

Serial No. 09/970,377

Filing Date: October 2, 2001

Art Group Unit:

Title: Providing Visualization of Market Offers Using a Multi-Dimensional Display Including Geometrically Shaped Icons

Honorable Assistant Commissioner  
for Patents  
P. O. Box 2327  
Arlington, VA 22202

Dear Sir:

<p>CERTIFICATE OF MAILING BY EXPRESS MAIL - No. EL 759105606 US I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37.C.F.R. 1.10 on the date shown below, and addressed to Assistant Commissioner for Patents, P. O. Box 2327, Arlington, VA 22202.</p> <p>Name: <u>Willie Jiles</u> Willie Jiles Date: <u>11-28-01</u></p>
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**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

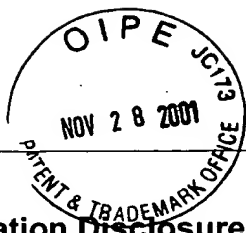
Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

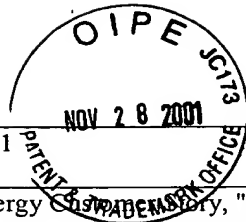
Christopher W. Kennerly  
Reg. No. 40,675

2001 Ross Avenue  
Dallas, Texas 75201-2980  
Tel. 214.953.6812; Fax. 214.661.4812  
chris.kennerly@bakerbotts.com

Date: 11/28/01



PTO-1449		Application No. <b>09/970,377</b>		Applicant(s) <b>Manoel (nmi) Tenorio</b>			
<b>Information Disclosure Citation in an Application</b>		Docket Number <b>020431.0916</b>		Group Art Unit		Filing Date <b>10/02/01</b>	
<b>U.S. PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>
							<b>YES</b> <b>NO</b>
<b>NON-PATENT DOCUMENTS</b>							
		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>					<b>DATE</b>
	<b>A</b>	@The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your Markets?" pp.1					2001
	<b>B</b>	@The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1					2001
	<b>C</b>	Trade@The Moment: The Platform for Real-Time Trading; pp 1-2					2001
	<b>D</b>	@The Moment Real-Time Trading Applications; pp 1-2					2001
	<b>E</b>	@The Moment Professional Services; pp 1					2001
	<b>F</b>	@The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9					2001
	<b>G</b>	Trade@The Moment Demos; pp 1					2001
	<b>H</b>	@The Moment Screen Shots; pp 1-3					2001
	<b>J</b>	Market Operator Navigation; pp 1					2001
	<b>K</b>	Market Type Selection Page; pp 1-2					2001
	<b>L</b>	Market Access Control Selection Page; pp 1-2					2001
	<b>M</b>	Market Report; "marketplace@themoment;" pp 1					2001
	<b>N</b>	Bid/Ask Pricing Page, pp 1-4					2001
	<b>O</b>	Auction Page; pp 1-4					2001
	<b>P</b>	Bid/Ask Page/Pitometer; pp 1-4					2001
	<b>Q</b>	Bid/Ask Page/Order Book; pp 1-4					2001
	<b>R</b>	@TheMoment Technology; pp1-2					2001



	<b>S</b>	@TheMoment Papers; pp 1	2001
	<b>T</b>	@TheMoment Reliant Energy Company, "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electricity Generation Capacity;" pp 1-2	
	<b>U</b>	@TheMoment White Papers; pp 1	2001
	<b>V</b>	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8	7/2001
	<b>W</b>	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12	8/2001
	<b>X</b>	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp 1-12	8/2001
	<b>Y</b>	@TheMoment White Paper Series; "The Role of Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14	10/2001
	<b>Z</b>	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4	10/2001
	<b>AA</b>	Trade@TheMoment:Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2	11/2001

**EXAMINER****DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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